



## Zhixu WU

Partner

Locations: Kunming

Title: Director and Senior Partner

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Working Language: Chinese

Practice area: Capital Markets, Mergers & Acquisitions

Mr. WU has been working as a lawyer since 1993 and specializes in capital markets and M&A. He has handled hundreds of civil and commercial litigation cases since he was admitted as a lawyer and he is the first batch of Chinese lawyers to obtain professional qualifications to engage in capital markets business. Mr. WU has provided legal services for the listing of shares for more than half of companies in Yunnan (both as the principal lawyer and the lawyer affixing signature).

### Representative Matters

- Acting as legal counsel for government bodies such as the Yunnan Provincial People's Government
- Acting as legal counsel for large groups companies and listed companies, including Yunnan Baiyao Group Co., Ltd., Yuntianhua Group Co., Ltd., Yunnan Tin Group (Holding) Co., Ltd., Yunnan Nantian Electronics Information Co., Ltd., Yunnan Energy Investment Group Co., Ltd., Yunnan Yunwei Co., Ltd., Huaneng Lancang River Hydropower Co., Ltd., and Yunnan Provincial Energy Investment Co., Ltd.
- As the project leader, Mr. WU provided services in backdoor listing, acquisition of listed companies, major asset restructuring, issuance of shares to purchase assets or raise funds, allotment of shares, and equity incentives for such companies as Yunnan Baiyao, Yunnan Coal Industry Energy, Guiyan Platinum Industry, Nantian Information, KPC Pharmaceuticals, and Huacan Optoelectronics.
- As the project leader, Mr. WU undertook the formation project of Yunnan Provincial Energy Investment Group, Yunnan Urban Construction Investment Group's acquisition of Chengdu New Exhibition Company, mixed ownership reform project of Yunnan Baiyao Holding Co., Ltd., and mixed ownership reform project of Yunnan Urban Construction Investment Group.

### Education Background

- Graduate student of Economic Law, Yunnan University (1995)
- Bachelor of Laws, Southwest Minzu University (1987)

### Professional Qualifications

- Bar admission in P.R. China
- National notary public qualification
- Securities law qualification
- Legal business qualifications in definition of property rights for collective technology companies
- Independent director qualification

### Professional Qualifications

- In 2004, Mr. WU joined DeHeng Law Offices as the director and senior partner of the Kunming office. Prior to this, he served as the director of a law firm in Yunnan.

### Recognitions and Affiliations

- Awarded the title of "Top Ten Lawyers of Law-based Governance" in Yunnan Province with the approval of the Yunnan Provincial Department of Justice on October 25, 2010
- In December 2017, he was awarded the title of "Excellent League Member" for the second assistance event of the National Lawyers Service Group with the approval of the Eight Bureau of United Front Work Department of CPC Central Committee, Guidance Department of Lawyer's Notarization Work of the Ministry of Justice, and All China Lawyers Association
- In July 2019, he was awarded the title of "Excellent Lawyer of Yunnan Province" by Yunnan Lawyers Association
- Named as "second-level lawyer" by the Yunnan Provincial Evaluation Committee for Senior Professional Title for Special Talents on February 5, 2018
- Member of the 12th Yunnan Committee of the CPPCC
- Member of the Standing Committee of the 13th Kunming Committee of the CPPCC
- Vice President of Yunnan Lawyers Association
- Director of Yunnan Securities Futures Mediation Center
- Member of the Yunnan Province Expert Committee of the Reconsideration, Re-examination and Hearing Work of Letters and Visits Matters
- Non-executive director of CRCC High-tech Equipment Co., Ltd.
- Independent Director of Hongta Securities Co., Ltd.